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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/668,125	
	Filing Date	September 22, 2003	
	First Named Inventor	Stephen H. Diaz	
	Group Art Unit	3731	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	P055

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Conor Medsystems, Inc. Cindy A. Lynch	38,699
Signature		
Date	December 8, 2006	

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Dated: 12-8-06

Signature: (Abby Berghella)



Attorney Docket No. P055

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 12-8-06

Signature: Abby Berghella (Abby Berghella)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Stephen H. Diaz		
Serial No.:	10/668,125	Art Unit:	3731
Filed:	September 22, 2003	Examiner:	Unassigned
For:	METHOD AND APPARATUS FOR LOADING A BENEFICIAL AGENT INTO AN EXPANDABLE MEDICAL DEVICE		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

1. Enclosures accompanying this Information Disclosure Statement are:

1a. ☒ A PTO-Form 1449 listing all patents, publications, applications, or other information submitted for consideration by the office.

1b. A legible copy of:

☒ Each publication and foreign patent reference;

☐ Each publication or that portion which caused it to be listed on the PTO-1449;

☐ For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or portion of the application which caused it to be listed on the PTO-1449 including any claims directed to that portion;

☐ all other information or portion which caused it to be listed on the PTO-1449.

2. ☒ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b):
- ☐ Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
 - ☐ Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
 - ☒ Before the mailing of the first Office action on the merits;
 - ☐ Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
3. ☐ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(c) after the period specified in 37 C.F.R. §1.97(b), but before the mailing date of any of a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311 or an action that otherwise closes prosecution in the application.
- 3a. ☐ The Certification Statement in Item 5 below is applicable. Accordingly, no fee is required.
- 3b. ☐ The \$180.00 fee set forth in 37 C.F.R. §1.17(p) in accordance with 37 C.F.R. §1.97(c) is:
- ☐ enclosed
4. ☐ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(d) after the period specified in 37 C.F.R. §1.97(c), but on or before the date of payment of the issue fee.
- The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is:
- ☐ enclosed.

The Certification Statement in Item 5 below is applicable.

5. ☐ Certification Statement (applicable if Item 3a or Item 4 is checked)
- 5a. ☐ In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- 5b. ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.

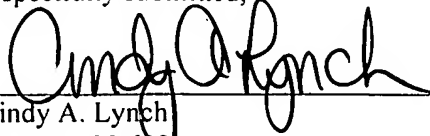
Application No. 10/668,125
Attorney Docket No. P055

- 5c. ☐ Pursuant to 37 C.F.R. §1.704(d), each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not **received** by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.
6. ☒ This application is a continuation-in-part application under 37 C.F.R. §1.60 or §1.53(b) or (d).
- 6a. ☐ A Petition to Withdraw from issue under 37 C.F.R. §1.313(b)(5) is concurrently filed herewith.
- 6b. ☒ Copies of publications listed on Form PTO-1449 from prior application Serial No. 10/447,587 from which this application claims priority are not being submitted pursuant to 37 C.F.R. §1.98(d), however the Examiner should consider this information in accordance with MPEP §609(I)(A)(2).
- 6c. ☒ Copies of the foreign patents and publications listed on Form PTO-1449 are provided herewith.
7. ☐ This is a Supplemental Information Disclosure Statement.
- 7a. ☐ This Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(f) supplements the Information Disclosure Statement filed on . A bona fide attempt was made to comply with 37 C.F.R. §1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental Information Disclosure Statement can be considered as if properly filed on .
8. ☐ In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:
- 8a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office.
- 8b. ☐ set forth in the application.
- 8c. ☐ enclosed as an attachment hereto.
9. ☒ The Commissioner is authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement and/or Petition to Conor Medsystems, Inc. Deposit Account No. 50-3100.

10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than a search report of a foreign counterpart application or PCT International Search Report if submitted herewith). 37 C.F.R. §§1.97(g) and (h).

Date: December 8, 2006

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Cindy A. Lynch", written over a horizontal line.

Cindy A. Lynch
Reg. No. 38,699
Conor Medsystems, Inc.
1003 Hamilton Court
Menlo Park, CA 94025
(650) 614-4131

Substitute for forms 1449A/PTO & 1449B/PTO

Attorney's Dkt. No.
P055Application No.
10/668,125**FIRST INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Applicant

Stephen H. Diaz et al.

Filing Date

September 22, 2003

Group

3731

DEC 12 2006

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (mm-dd-yyyy)
	US-20030088307		Shulze et al.	
	US-20040220660		Shanley, et al.	
	US-20040220665		Hossainy et al.	
	US-20050061771		Murphy	
	US-20050064088		Fredrickson	
	US-20050106210		Ding et al.	
	US-20050160891		Koch	
	US-20050166389		Perreault et al.	
	US-20050222676		Shanley, et al.	
	US-20050229670		Perreault	
	US-20050233062		Hossainy et al.	
	US-20050240256		Austin	
	US-20050273161		Malik et al.	
	US-20060096660		Diaz	
	US-20060177564		Diaz et al.	
	US-5836964		Richter et al.	
	US-5906759		Richter	
	US-6066168		Lau et al.	
	US-6114049		Richter	
	US-6197048		Richter	
	US-6676987		Zhong et al.	
	US-6682771		Zhong et al.	
	US-6746686		Hughes et al.	
	US-6783793		Hossainy et al.	

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FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney's Dkt. No.

P055

Application No.

10/668,125

Applicant

Stephen H. Diaz et al.

Filing Date

September 22, 2003

Group

3731

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (mm-dd-yyyy)
	US-6790228		Hossainy	
	US-6818063		Kerrigan	
	US-6860946		Hossainy et al.	
	US-6861088		Weber et al.	
	US-6865810		Stinson	
	US-6866810		Stinson	
	US-6867389		Shapovalov et al.	
	US-6887510		Villareal	
	US-6927359		Kleine et al.	
	US-6948223		Shortt	
	US-6955723		Pacetti et al.	
	US-6957152		Esbeck	
	US-6981985		Brown et al.	
	US-7037552		Zong et al.	

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	Applicant Stephen H. Diaz et al.	
	Filing Date September 22, 2003	Group 3731

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Publication Date (mm-dd-yyyy)	Translation	
					Yes	No
	EP-1341479		EPO	10/12/2005		
	EP-1493456		EPO	1/5/2005		
	EP-1518570		EPO	3/30/2005		
	EP-1559439		EPO	8/3/2005		
	EP-846447		EPO	6/15/2005		
	EP-853927		EPO	4/2/1003		
	EP-956832		EPO	11/17/1999		
	EP-973462		EPO	6/14/2006		
	WO-0243788		PCT	6/6/2002		
	WO-03048665		PCT	6/12/2003		
	WO-04094096		PCT	11/4/2004		
	WO-04096093		PCT	11/11/2004		
	WO-04096311		PCT	11/11/2004		
	WO-05016187		PCT	2/24/2005		
	WO-05016396		PCT	2/24/2005		
	WO-05018606		PCT	3/3/2005		
	WO-05034805		PCT	4/21/2005		
	WO-05034806		PCT	4/21/2005		
	WO-05037444		PCT	4/28/2005		
	WO-05037447		PCT	4/28/2005		
	WO-05047572		PCT	5/26/2005		
	WO-05089951		PCT	9/29/2005		
	WO-05092420		PCT	10/6/2005		
	WO-05102590		PCT	11/3/2005		
	WO-05112570		PCT	12/1/2005		
	WO-06012034		PCT	2/2/2006		
	WO-9833546		PCT	8/6/1998		
	WO-9937245		PCT	7/29/1999		

Examiner Initials		Date Considered	
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